Form approved: OMB No. 3206 0005 NSN 7540-00 634-4036

Questionnaire for National Security Positions

Follow instructions fully or we cannot process your form. If you have any questions, contact the office that gave you the form.

Purpose of this Form

The United States (U.S.) Government conducts background investigations and reinvestigations of persons under consideration for or retention in national security positions as defined in 5 CFR 732 and for positions requiring access to classified information under Executive Order 12968.

Giving us this information is voluntary. If you do not provide each item of requested information, however, we will not be able to complete your investigation, which will adversely affect your eligibility for a national security position. Any information that you provide is evaluated on the basis of its recency, seriousness, relevance to the position and duties, and consistency with all other information about you.

Withholding, misrepresenting, or falsifying information will have an impact on a security clearance, employment prospects, or job status, up to and including denial or revocation of your security clearance, or your removal and debarment from Federal Service.

This form is a permanent document that may be used as the basis for future investigations, security clearance determinations, and determinations of your suitability for employment. Your responses to this form may be compared with previous security questionnaires. It is imperative that the information provided be true and accurate to the best of your knowledge.

Authority to Request this Information

Depending upon the purpose of your investigation, the U.S. Government is authorized to ask for this information under Executive Orders 10450, 10865, 12333, and 12968; sections 3301, 3302, and 9101 of title 5, U.S. Code (U.S.C.); sections 2165 and 2201 of title 42, U.S.C.; chapter 23 of title 50, U.S.C.; and parts 2, 5, 731, 732, and 736 of title 5, Code of Federal Regulations.

Your Social Security Number (SSN) is needed to identify your unique records. Although disclosure of your SSN is not mandatory, failure to disclose your SSN may prevent or delay the processing of your background investigation. The authority for soliciting and verifying your SSN is Executive Order 9397.

The Investigative Process

Background investigations for national security positions are conducted to gather information to show whether you are reliable, trustworthy, of good conduct and character, and loyal to the U.S. The information that you provide on this form may be confirmed during the investigation. The investigation may extend beyond the time covered by this form when necessary to resolve issues. Your current employer may be contacted as part of the investigation, even if you have previously indicated on applications or other forms that you do not want your current employer to be contacted.

In addition to the questions on this form, inquiry also is made about your adherence to security requirements, honesty and integrity, vulnerability to exploitation or coercion, falsification, misrepresentation, and any other behavior, activities, or associations that tend to show the person is not reliable, trustworthy, or loyal. Checks of Federal agency records may be made about your spouse or other cohabitant.

Your Personal Interview

Some investigations will include an interview with you as a routine part of the investigative process. The investigator may ask you to explain your answers to any question on this form. This provides you the opportunity to update, clarify, and explain information on your form more completely, which often helps to complete your investigation faster. It is important that the interview be conducted as soon as possible after you are contacted. Postponements will delay the processing of your investigation, and declining to be interviewed may result in your investigation being delayed or canceled.

For the interview, you will be asked to bring identification with your picture on it, such as a valid state driver's license. There are other documents you may be asked to bring to verify your identity as well. These may include documentation of any legal name change, Social Security card, passport, and/or your birth certificate.

You may also be asked to bring documents about information you provided on the form or about other matters requiring specific attention. These matters include (a) alien registration or naturalization documentation; (b) delinquent loans or taxes, bankruptcies, judgments, liens, or other financial obligations; (c) agreements involving child custody or support, alimony, or property settlements; (d) arrests, convictions, probation, and/or parole; or (e) other matters described in court records.

Special Instructions for Completing this Form

Questions on this form related to residence, employment, and education will require 7 years of information except that Single-Scope Background Investigations (SSBI) will require 10 years of information.

Provide 7 years of information unless you have been instructed to provide 10 years to satisfy SSBI requirements. If you are unsure as to the amount of information to provide, contact the office that gave you this form.

The instructions for these questions specify a 10-year time frame when an SSBI is required. If you have any questions about this investigative request or whether the 7-year time frame or the 10-year time frame applies to your responses to these questions, contact the office that gave you this form.

Instructions for Completing this Form

- 1. Follow the instructions given to you by the office that gave you this form and any other clarifying instructions furnished by that office to assist you in completion of this form. You must sign and date, in ink, the original and each copy you submit. You should retain a copy of the completed form for your records.
- 2. Type or legibly print your answers in ink (if the form is not legible, it will not be accepted). You may also be asked to submit your form using the approved electronic format.
- All questions on this form must be answered. If no response is necessary or applicable, indicate this on the form with "N/A" unless otherwise noted.
- 4. Any changes that you make to this form after you sign it must be initialed and dated by you. Under certain limited circumstances, agencies may modify your response(s) with your consent.
- 5. You must use the Location codes (abbreviations) listed on the back of this page when you fill out this form. Do not abbreviate the names of cities or foreign countries.
- 6. Whenever "City (Country)" is shown in an address block, also provide in that block the name of the country when the address is outside the U.S.
- 7. The 5-digit postal Zip Codes are needed to speed the processing of your investigation. Refer to an automated system approved by the U.S. Postal Service to assist you with Zip Codes.
- 8. For telephone numbers in the U.S., be sure to include the area code.
- 9. All dates provided in this form must be in Month/Day/Year or Month/Year format. Use numbers (01-12) to indicate months. For example, July 29, 1968, should be written as 07/29/1968. If you find that you cannot report an exact date, approximate or estimate the date to the best of your ability and indicate this by writing "APPROX." or "EST."
- 10. If you need additional space for explanation or to list your residences, employment/self-employment/unemployment, or education, you should use a continuation sheet, SF 86A. If additional space is needed to answer other items, use the Continuation Space on page 17 or a blank sheet(s) of paper. Each blank sheet of paper you use must contain your name and SSN at the top of the page.

Final Determination on Your Eligibility

Final determination on your eligibility for a national security position is the responsibility of the Federal agency that requested your investigation. You will be provided the opportunity personally to explain, refute, or clarify any information before a final decision is made.

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

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Penalties for Inaccurate or False Statements

The U.S. Criminal Code (title 18, section 1001) provides that knowingly falsifying or concealing a material fact is a felony which may result in fines and/or up to 5 years of imprisonment. In addition, Federal agencies generally fire, do not grant a security clearance, or disqualify individuals who have

materially and deliberately falsified these forms, and this remains a part of the permanent record for future placements. Your prospects of placement or security clearance are better if you answer all questions truthfully and completely. You will have adequate opportunity to explain any information you give to us on this form and to make your comments part of the record.

DISCLOSURE INFORMATION

The information you give to us is for the purpose of investigating you for a national security position; we will protect it from unauthorized disclosure. The collection, maintenance, and disclosure of background investigative information is governed by the Privacy Act. The agency that requested the investigation and the agency that conducted the investigation have published notices in the Federal Register describing the systems of records in which

your records will be maintained. The information on this form, and information collected during an investigation, may be disclosed without your consent by an agency maintaining the information in a system of records as permitted by the Privacy Act [5 U.S.C. 552a(b)], and by routine uses published by the agency in the Federal Register. The office that gave you this form will provide you a copy of its routine uses.

PRIVACY ACT ROUTINE USES

- 1. To the Department of Justice when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government, is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records by the Department of Justice is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.
- 2. To a court or adjudicative body in a proceeding when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.
- 3. Except as noted in Question 23 and 27, when a record on its face, or in conjunction with other records, indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute, particular program statute, regulation, rule, or order issued pursuant thereto, the relevant records may be disclosed to the appropriate Federal, foreign, State, local, tribal, or other public authority responsible for enforcing, investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order.
- 4. To any source or potential source from which information is requested in the course of an investigation concerning the hiring or retention of an employee or other personnel action, or the issuing or retention of a security clearance, contract, grant, license, or other benefit, to the extent necessary to identify the individual, inform the source of the nature and purpose of the investigation, and to identify the type of information requested.

- 5. To a Federal, State, local, foreign, tribal, or other public authority the fact that this system of records contains information relevant to the retention of an employee, or the retention of a security clearance, contract, license, grant, or other benefit. The other agency or licensing organization may then make a request supported by written consent of the individual for the entire record if it so chooses. No disclosure will be made unless the information has been determined to be sufficiently reliable to support a referral to another office within the agency or to another Federal agency for criminal, civil, administrative, personnel, or regulatory action.
- 6. To contractors, grantees, experts, consultants, or volunteers when necessary to perform a function or service related to this record for which they have been engaged. Such recipients shall be required to comply with the Privacy Act of 1974, as amended.
- 7. To the news media or the general public, factual information the disclosure of which would be in the public interest and which would not constitute an unwarranted invasion of personal privacy.
- 8. To a Federal, State, or local agency, or other appropriate entities or individuals, or through established liaison channels to selected foreign governments, in order to enable an intelligence agency to carry out its responsibilities under the National Security Act of 1947 as amended, the CIA Act of 1949 as amended, Executive Order 12333 or any successor order, applicable national security directives, or classified implementing procedures approved by the Attorney General and promulgated pursuant to such statutes, orders or directives.
- 9. To a Member of Congress or to a Congressional staff member in response to an inquiry of the Congressional office made at the written request of the constituent about whom the record is maintained.
- 10. To the National Archives and Records Administration for records management inspections conducted under 44 U.S.C. 2904 and 2906.
- 11. To the Office of Management and Budget when necessary to the review of private relief legislation.

| LOCATION CODES | | | | | | | | | | |
|--------------------------------|----|------------------|----|--------------------------|----|----------------------------|----|---------------|----|--|
| Alabama | AL | Hawaii | HI | Massachusetts | MA | New Mexico | NM | South Dakota | SD | |
| Alaska | AK | Idaho | ID | Michigan | MI | New York | NY | Tennessee | TN | |
| Arizona | AZ | Illinois | IL | Minnesota | MN | North Carolina | NC | Texas | TX | |
| Arkansas | AR | Indiana | IN | Mississippi | MS | North Dakota | ND | Utah | UT | |
| California | CA | Iowa | IA | Missouri | MO | Ohio | OH | Vermont | VT | |
| Colorado | CO | Kansas | KS | Montana | MT | Oklahoma | OK | Virginia | VA | |
| Connecticut | CT | Kentucky | KY | Nebraska | NE | Oregon | OR | Washington | WA | |
| Delaware | DE | Louisiana | LA | Nevada | NV | Pennsylvania | PA | West Virginia | WV | |
| District of Columbia | DC | Maine | ME | New Hampshire | NH | Rhode Island | RI | Wisconsin | WI | |
| Florida | FL | Maryland | MD | New Jersey | NJ | South Carolina | SC | Wyoming | WY | |
| Georgia | GA | | | | | | | | | |
| American Samoa | AS | Guam | GU | Northern Mariana Islands | MP | Palau | PW | | | |
| Federated States of Micronesia | FM | Marshall Islands | MH | Puerto Rico | PR | Virgin Islands of the U.S. | VI | | | |
| DURI IC RUPDEN INFORMATION | | | | | | | | | | |

PUBLIC BURDEN INFORMATION

Public burden reporting for this collection of information is estimated to average 120 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to OPM Forms Officer, U.S. Office of Personnel Management, 1900 E Street, N.W., Washington, DC 20415. Do not send your completed form to this address; send it to the office that provided you the form. The OMB clearance number, 3206-0005, is currently valid. OPM may not collect this information, and you are not required to respond, unless this number is displayed.

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

| Investigating agency use only | | | | | | Cod | des | | | | Case num | ber | | | | |
|---|-------------------------------------|---------------|-----------------|--------|-------------|-------------|-----------------|------------------|----------------|-------------|--------------|------------------|--------|----------------|-------------------|------------|
| | | | | | | | | | | | | | | | | |
| AGENCY USE ONLY | | | | | | | | | | | | | | | | |
| A Type of investigation | B Extra cover | age/Adv | ance results | C | Sensiti | ivity leve | l D | Access | s/Eligibilit | у Е | Nature of | action co | ode F | Date | e of action | |
| G Geographic location | | H Pos | sition code | | I Po | sition titl | le | | | · · | | | J | SO | N | |
| K Location of official personn | nel folder | | None NPRC | | At SC | | Other | Other | address/ | Web addr | ress of e-O | f e-OPF ZIP Code | | | | |
| L SOI | M Location | n of secu | irity folder | | None NPI | | At SOI Other | Other | address | | | ZIP Code | | | | |
| N IPAC | O TAS | | | | | P Obli | gating d | ocumen | t number | | Q BETC | | • | | | |
| R Accounting data and/or Ag | ency case nur | mber | | | | | | | | S Invest | igative requ | uirement | | Initia Rein | ıl vestigation | |
| T Requesting official - Name | | | Title | | | | | | | Signatur | re | | | | | |
| Email address | | | | | | | | | Telepho | one numbe | er | | Date | | | |
| U Secondary requesting offici | ial - Name | | | | | | Title | | | | | | | | | |
| Email address Telephone number V Applicant affiliation FED CIV MIL | | | | | | | | | - | ON Other | | | | | | |
| PERSONS COMPLET | ING THIS | FORM | | | | WITH T | | | | BELOW | AFTER | CARE | FULL | Y RE | EADING | |
| 1 FULL NAME ,, , | | | | | | | | | | | | 2 | DATE (| OF BI | RTH | |
| - If you have or | | | | | | | nitial(s). | - If you h | ave no mi | ddle name | , enter "NMI | N." | | | | |
| - If you are a "Jr.," "Sr.," etc. enter this in the box after your middle name. Last name First name Middle name | | | | | | | Jı | ., II, etc | | | _ | | | | | |
| 3 PLACE OF BIRTH | | | | | | | | | | | | 4 | SOCIA | L SE | CURITY NO | D . |
| City | | County | У | | | State | e Co | ountry <i>(i</i> | f outside | the U.S.) | | | | | | |
| 5 OTHER NAMES USED Ha | ave you used a | any othe | r names? | | | | | | | | | | | | | |
| | f "Yes," give ot name(s), alias(| | | | | | | | | | | | | | | |
| Name #1 | | | | | | | | | | | | Mont | h/Year | To | Month/Ye | ar |
| Name #2 | | | | | | | | | | | | Mont | h/Year | To | Month/Ye | ar |
| Name #3 | | | | | | | | | | | | Mont | h/Year | To | Month/Ye | ar |
| Name #4 | | | | | | | | | | | | Mont | h/Year | To | Month/Ye | ar |
| 6 MOTHER'S MAIDEN NAME | | | | | | | | | | | | | | | | |
| Last name | | | F | irst n | ame | | | | | | Midd | dle name | • | | | |
| 7 YOUR IDENTIFYING INFO | | | | | | | | | | | | | | | | |
| Height (feet and inches) We | eight (pounds) | Hair c | olor | Eye | color | Sex | | Female Male | | | | | | | | |
| 8 YOUR CONTACT INFORM | ATION Chec | k box(es | s) indicating v | when | you ca | | | | | ber. | | | | | | |
| Home e-mail address | | | | | | | rk e-ma | | | | | | | | | |
| Home telephone number | | Day Evenir | Work tele | ephor | ne num | ber | | - | Day Evening | Mobile tel | ephone nui | mber | | | Day Evenir | ng |
| Enter your Social Securi | ity Number | before | going to t | he n | ext pa | ige — | | | | | → 1 | | | | | |

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved: OMB No. 3206 0005 NSN 7540-00 634-4036

| O CITIZENCI IID Marie the have the tract | lo eta varia erra | nt oiti-s | hir - | totics - | nd fall | OM !to ! | in atrustices | | | | |
|--|--|--|--|--|---|----------------------------------|---|---------------------------------------|--|--|--|
| 9 CITIZENSHIP Mark the box that ref | | | | | | ow its | instructions. | | | = | |
| I am a U.S. citizen or national by birtl | | • | | | alth. | | | | I am a naturalized U.S. o | | o 9B or 9C |
| I am a U.S. citizen or national by birth | | e U.S. Go | to 9A | 4 | | | | | I am not a U.S. citizen. | o to 9D | |
| U.S. PASSPORT Current or most recer | nt passport | | | | | ALIEN | REGISTRAT | ION N | NUMBER (if applicable) | | |
| Number | Date issued | Exp | pired | Ш, | YES | Numb | er | | | | |
| | | | | | NO | | | | | | |
| 9A DOCUMENTATION OF U.S. CITIZE | NS BORN ABF | ROAD [ST. | ATE | DEPAR | RTMEN | IT FO | RM (FS) 240, I | DS 13 | 350, FS 545, etc.] Repo | ort informat | ion, if applicable. |
| Date form was completed Document n | umber | | | | | PI | ace of issuanc | е | | | |
| | | | | | | | | | | | |
| 9B CITIZENSHIP CERTIFICATE (if ap | plicable) | | | | | | | | | | |
| Where was this certificate issued? City | , | | | ; | State | Ce | ertificate numbe | er | | | Date issued |
| · | | | | | | | | | | | |
| 9C NATURALIZATION CERTIFICATE | (if applicable) | | | | | | | | | | |
| Where was this certificate issued? City/ | | | | | State | Ce | ertificate numbe | er | | | Date issued |
| The state of the second | 000.1 | | | 1 | O late | ١ | | | | 1 | 24.0 .00404 |
| 9D IMMIGRATION STATUS Place you | u entered the II | 9 | | | | | | | | | |
| City | u entereu trie O. | | | | State | <u> </u> | ountry(ies) of c | itizon | chin | | |
| City | | | | ľ | State | I C | ountry (les) or c | ıuzen | silib | | |
| Data of autur | Tuna at da | | 04 - | | | | | Daa | | | |
| Date of entry | Type of do | cument (I- | -94, E | etc.) | | | ı | Doc | ument number | | |
| | | | | | | | | | | | |
| 10 CITIZENSHIP INFORMATION | | | | | | | | | | | |
| Do you now hold or have you EVER held | d multiple citize | nships? | | | Y | ES | | | | | |
| | | | | | 1 | 10 G | io to Question | 11 | | | |
| A If "Yes," provide the name(s) of the o | ountry(ies). | | | B Du | ıring w | hat pei | riods of time di | d you | hold multiple citizenship | os? | |
| | | | | | | | | | | | |
| C Is your non-U.S. citizenship based or | n your birth in a | foreign co | untry | or the | citizer | ship o | f your parents? |) (If | "No," explain.) | | |
| YES NO, explain | , | J | , | | | • | , , | • | . , | | |
| | renounce vour | foreian citi | izens | shin(s)? | //f "V | S " AY | nlain) | | | | |
| NO YES, explain — | D Have you renounced or attempted to renounce your foreign citizenship(s)? (If "Yes," explain.) | | | | | | | | | | |
| | a Cantinuation | Ch = =+/=\ // | CE 0/ | CA\ ==4 | ha Ca | .4:4 | : C | | 17 for additional analysis | _ | |
| 11 WHERE YOU HAVE LIVED Use the List the places where you have lived, by | | . , , | | | | | | | | | |
| the entire 7 year period must be acc an address, and do not list a permaner an address location: for example, do n (TDY) under 90 days (list your address FPO address is required for overseas a For any address in the last 3 years, list completely outside this 3-year period, a "General Delivery," a Rural or State Ro | counted for with address when not list only your of record insteads assignments. a person who kand do not list your list your list your list your list you will be and do not list you will and do not list you will list you wil | thout breat you were base or s ad), but you knew you a our spouse | aks. actual hip, I ou mu at that e, for | Indicat ally livin ist your ist list o at addre mer spo | e the ang at a r barra other pass, an ouse, o | actual pactual packs nu art-time | physical location address, etc. mber or home residences. Your preferably still r relatives. Also | on of Be so port. four a lives o, for | your residence. Do not ure to be as specific as p You may omit temporar actual physical location in that area. Do not list p addresses in the last 3 y | use a Post possible why military don addition to people for ryears, if the | Office Box as nen listing uty locations o your APO/ esidences address is |
| 86A). Do not list residences before yo | | | | | | | | | | ontinuation | Silect (Oi |
| Residence Information and Point of | Contact for the | at Period | of R | esiden | ce | | | | | | |
| | | Own | | | ry hous | sina | Street addre | ss | | | Apt.# |
| | | Rent | | | (Expla | | | | | | ' ' |
| APO/FPO address | | | | | (| , | -1 | | | | |
| 7.1 6,1 1 6 add1666 | | | | | | | | | | | |
| City (Country) | | | | | | | | | | Ctoto | ZIP Code |
| City (Country) | | | | | | | | | | State I | I Code |
| | | | | | | | | | | | 1 |
| Name of person who knows you at this | address C | urrent add | iress | | | | | | | | Apt.# |
| | | | | | | | | | | | |
| APO/FPO address (if currently applicate | ole) | | | | | | | | | | |
| City (Country) | | | | | | | | | | State | , ZIP Code |
| , (,), | | | | | | | | | | | |
| Talanhana numbar | ate centest num | hor | | Dolotic | nchin | | Noighbor | 1 1 | Landlord | Othor | Explain) |
| Telephone number Altern | ate contact num | inei | J | Relatio | nsnip | | Neighbor | \vdash | Landlord [| Otner (| ⊏хµіаігі) |
| | | | | | | | Friend | | Business associate | | |
| | | | | | | | | | | | |

Enter your Social Security Number before going to the next page

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

| 11 WHERE YOU HAVE LIVED | (Continued) | | | | | | |
|-------------------------------|------------------|-----------|------------------|----------------|--------------------|-----------|------------|
| #2 Month/Year To Month/Ye | ar Status | Own | Military housing | Street address | | | Apt.# |
| | | Rent | Other (Explain) | | | | |
| APO/FPO address | <u> </u> | | | | | | |
| | | | | | | | |
| City (Country) | | | | | | State | . ZIP Code |
| | | | | | | | |
| Name of person who knows you | at this address | Current a | ddress | | | I | Apt.# |
| | | | | | | | |
| APO/FPO address (if currently | applicable) | · | | | | | |
| | | | | | | | |
| City (Country) | | | | | | State | ZIP Code |
| | | | | | | | |
| Telephone number | Alternate contac | t number | Relationship | Neighbor | Landlord | Other (Ex | plain) |
| | | | | Friend | Business associate | | |
| #3 Month/Year To Month/Ye | ar Status | Own | Military housing | Street address | | | Apt.# |
| | | Rent | Other (Explain) | | | | |
| APO/FPO address | | | | | | | |
| | | | | | | | |
| City (Country) | | | | | | State | ZIP Code |
| | | | | | | | |
| Name of person who knows you | at this address | Current a | ddress | | | | Apt.# |
| | | | | | | | |
| APO/FPO address (if currently | applicable) | | | | | | |
| | | | | | | | |
| City (Country) | | | | | | State | ZIP Code |
| | | | | | | | |
| Telephone number | Alternate contac | ct number | Relationship | Neighbor | Landlord | Other (Ex | olain) |
| | | | | Friend | Business associate | | |
| #4 Month/Year To Month/Ye | ar Status | Own | Military housing | Street address | | | Apt.# |
| | | Rent | Other(Explain) | | | | |
| APO/FPO address | | | | | | | |
| | | | | | | | |
| City (Country) | | | | | | State | ZIP Code |
| | | | | | | | |
| Name of person who knows you | at this address | Current a | ddress | | | | Apt.# |
| | | | | | | | |
| APO/FPO address (if currently | applicable) | | | | | | |
| | | | | | | | |
| City (Country) | | | | | | State | ZIP Code |
| | | | | | | | |
| Telephone number | Alternate contac | t number | Relationship | Neighbor | Landlord | Other (Ex | olain) |
| | | | | Friend | Business associate | | |

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

| 12 WHERE YOU WENT TO SCHOOL Use the Continuation Sheet(s) (SF 86A) or the Continuation Space on page 17 for additional answers. | | | | | | | | | |
|--|---------------|---------------|--|--------------------------|-----------|--|--|--|--|
| List all schools you have attended, beginning with the most recent (#1) wo and the dates they were received. If your most recent degree or diploma w | orking back 7 | years (if an | SSBI go back 10 years). List colleg | ge or university degrees | | | | | |
| when it was received. In the Code block, show the most appropriate co | | | , , , | | | | | | |
| 1 - High School 2 - College/University/Military College | | | nal/Technical/Trade School bondence/Distance/Extension/Onli | ne School | | | | | |
| For Correspondence/Distance/Extension/Online | School, prov | | | | | | | | |
| For schools you attended in the last 3 years, list Do not list people for education periods complete | | | |). | | | | | |
| SCHOOL INFORMATION | ed more mai | i 3 years ago | • | | | | | | |
| #1 Month/Year To Month/Year Code Name of school | | | Degree/diploma received? If "Ye | | _ | | | | |
| | | | of degree/diploma received and o | date awarded. | YES NO | | | | |
| Street address and City (Country) of school | | | | State ZIP Code | | | | | |
| Name of person who knows you | | | | Apt. # | | | | | |
| City (Country) | State | ZIP Code | Telephone number | | | | | | |
| #2 Month/Year To Month/Year Code Name of school | <u> </u> | . | Degree/diploma received? If "Ye of degree/diploma received and d | | YES | | | | |
| | | | | _ | NO | | | | |
| Street address and City (Country) of school | | | | State ZIP Code | | | | | |
| Name of person who knows you Current address | | | | Apt. # | | | | | |
| City (Country) | State | ZIP Code | Telephone number | | | | | | |
| #3 Month/Year To Month/Year Code Name of school | ! | · | Degree/diploma received? If "Ye of degree/diploma received and d | | □ VEC | | | | |
| | | | di degree/diploma received and d | ate awarded. | YES NO | | | | |
| Street address and City (Country) of school | | | | State ZIP Code | | | | | |
| Name of person who knows you | | | | Apt. # | | | | | |
| City (Country) | State | ZIP Code | Telephone number | | | | | | |
| #4 Month/Year To Month/Year Code Name of school | • | • | Degree/diploma received? If "Ye of degree/diploma received and d | | YES | | | | |
| Street address and City (Country) of school | | | | State ZIP Code | NO | | | | |
| Chock address and only (country) of concer | | | | | | | | | |
| Name of person who knows you | | | | Apt. # | | | | | |
| City (Country) | State | ZIP Code | Telephone number | | | | | | |
| #5 Month/Year To Month/Year Code Name of school | • | | Degree/diploma received? If "Ye | s," identify type | 7,450 | | | | |
| | | | of degree/diploma received and d | ate awarded. | YES NO | | | | |
| Street address and City (Country) of school | | | | State ZIP Code | | | | | |
| Name of person who knows you | | | | Apt. # | | | | | |
| City (Country) | State | ZIP Code | Telephone number | | | | | | |
| | | | | | | | | | |

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved: OMB No. 3206 0005 NSN 7540-00 634-4036 86-111

APD PE v1.01ES

13 EMPLOYMENT ACTIVITIES Use the Continuation Sheet(s) (SF 86A) or the Continuation Space on page 17 for additional answers.

List all your employment activities, beginning with the present (#1) and working back 7 years (if an SSBI go back 10 years). You should list all full-time and part-time work, paid or unpaid, consulting/contracting work, all military service duty locations, temporary military duty locations (TDY) over 90 days, self-employment, other paid work, and all periods of unemployment. **The entire period must be accounted for without breaks.** EXCEPTION: Do not list employments that occurred before your 18th birthday unless it is necessary for providing a minimum of 2 years of employment history. If you require additional space, use a continuation sheet (SF 86A).

Employer/Verifier Information. List the business name of your employer or the name of a person who can verify your self-employment or unemployment in this block. If military service is being listed, include your duty location or home port here as well as your branch of service. You should provide separate listings to reflect changes in your military duty locations or home ports. If you are a Federal Contractor, list company name, not Federal agency.

Additional Periods of Activity. Complete this block if you worked for an employer on more than one occasion at the same physical location. After entering the most recent period of employment in the initial numbered block, provide previous periods of employment at the same location on the additional lines provided. For example, if you worked at XY Plumbing in Denver, CO, during 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment on the lines below that information.

Employment Code: Use one of the codes listed below to identify the type of employment.

- 1 Active military duty stations
- 4 Other Federal employment

7 - Unemployment (include name of verifier)

- 2 National Guard/Reserve
- 5 State Government (Non-Federal employment)
- 8 Federal Contractor

- 3 U.S.P.H.S. Commissioned Corps
- 6 Self-employment (include business name and/or name of person who can verify)
- 9 Other (explain)

| 13 | BA EMPLOYM | IENT/UNEMPI | OYME | NT INFORMATION | | | | |
|-----|-----------------|-----------------|--------------|----------------------|------------------------------|------------|----------|---------------|
| #1 | Dates of E | mployment | | Type of Employmen | t | | | |
| | Month/Year | To Month/ | Year | Employment code | Position title/Military rank | | Work hou | urs Full-time |
| | | | | | | | | Part-time |
| Ei | mployer/Verif | ier | | | | | | |
| Na | ame of employe | er/verifier | | | | | Telephor | ne number |
| | | | | | | | | |
| Ad | ddress of empl | oyer/verifier | | | | | | |
| Ci | ty (Country) | | | | | | State | ZIP Code |
| | , (, | | | | | | | |
| | hysical Locat | | | | | | | |
| Yo | our actual work | address (if dit | ferent f | rom employer address | | | Telephor | ne number |
| (| :t (Caatm.) | | | | | | Ctata | ZID Code |
| | ity (Country) | | | | | | State | ZIP Code |
| Sı | upervisor (if a | lifferent from | emplo | ver) | | | | |
| _ | ame and title | | | ,, | | | Telephor | ne number |
| | | | | | | | | |
| W | ork address of | supervisor | | | | | | |
| | | | | | | | | |
| С | ity (Country) | | | | | | State | ZIP Code |
| | | | | | | | | |
| Α | dditional Peri | iods of Activi | ty with | this Employer | | | • | 1 |
| | onth/Year To | | | sition title | | Supervisor | | |
| | | | | | | | | |
| M | onth/Year To | Month/Yea | r Po | sition title | | Supervisor | | |
| N/A | onth/Year To | Month/Yea | r Po | sition title | | Supervisor | | |
| IVI | onal/Teal T | World Fea | | Sidon tide | | Cupervisor | | |
| E | xplanation/Rea | son for leaving | _ | | | | | |

| Enter your Soc | ial Security Nu | mber before goi | ing to the next pa | age ——— |
|----------------|-----------------|-----------------|--------------------|---------|
| | | | J | |

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

| 13A EMPLOYMEN | IT/UNEMPLOYME | ENT INFORMATION (C | ontinued) | | | |
|---|--------------------|------------------------|------------------------------|------------|-----------|---------------|
| #2 Dates of Empl | oyment | Type of Employmer | nt | | | |
| Month/Year T | o Month/Year | Employment code | Position title/Military rank | | Work hou | ırs Full-time |
| | | | | | | Part-time |
| Employer/Verifier | | | • | | | |
| Name of employer/v | verifier | | | | Telephor | ne number |
| | | | | | | |
| Address of employe | er/verifier | | | | • | |
| City (Country) | | | | | State | ZIP Code |
| , | | | | | | |
| Physical Location | 1 | | | | _! | |
| | | from employer address) | | | Telephon | e number |
| | | | | | | |
| City (Country) | | | | | State | ZIP Code |
| | | | | | | |
| Supervisor (if diffe | erent from emplo | yer) | | | . | |
| Name and title | | | | | Telephon | e number |
| Work address of su | porvisor | | | | | |
| Work address or su | pervisor | | | | | |
| City (Country) | | | | | State | ZIP Code |
| , (, , , , , , , , , , , , , , , , , , | | | | | | |
| Additional Period | s of Activity with | this Employer | | | 1 | |
| Month/Year To | Month/Year Po | sition title | | Supervisor | | |
| | | | | | | |
| Month/Year To | Month/Year Po | sition title | | Supervisor | | |
| Month/Year To | Month/Year Po | sition title | | Supervisor | | |
| | | | | | | |
| Explanation/Reasor | n for leaving | | | | | |
| | | | | | | |
| #3 Dates of Emp | - | Type of Employmen | | | | |
| Month/Year T | o Month/Year | Employment code | Position title/Military rank | | Work hou | rs Full-time |
| | | | | | | Part-time |
| Employer/Verifier Name of employer/v | | | | | Telephone | numbar |
| Name of employer/v | remen | | | | Telephone | enumber |
| Address of employe | er/verifier | | | | • | |
| City (Country) | | | | | State | ZIP Code |
| | | | | | | |
| Physical Location | 1 | | | | | |
| | | from employer address) | | | Telephone | e number |
| | | | | | | |
| City (Country) | | | | | State | ZIP Code |
| | | | | | | |
| | | | | | | |

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved: OMB No. 3206 0005 NSN 7540-00 634-4036 86-111

| 13A EMPLOYMENT/UNEMPLOYMENT INFORMATION (Continued) | | | | | | | | | |
|--|------------|----------|-----------|--|--|--|--|--|--|
| Supervisor (if different from employer) | | | | | | | | | |
| Name and title | | Telephor | ne number | | | | | | |
| | | | | | | | | | |
| Work address of supervisor | | | | | | | | | |
| | | | | | | | | | |
| City (Country) | | State | ZIP Code | | | | | | |
| | | | | | | | | | |
| Additional Periods of Activity with this Employer Month/Year To Month/Year Position title | Supervisor | | | | | | | | |
| | | | | | | | | | |
| Month/Year To Month/Year Position title | Supervisor | | | | | | | | |
| | | | | | | | | | |
| Month/Year To Month/Year Position title Supervisor | | | | | | | | | |
| | | | | | | | | | |
| Explanation/Reason for leaving | • | | | | | | | | |
| | | | | | | | | | |
| #4 Dates of Employment Type of Employment | | | | | | | | | |
| Month/Year To Month/Year Employment code Position title/Military rank | | Work h | | | | | | | |
| Familia va v Marifia | | | Part-time | | | | | | |
| Employer/Verifier Name of employer/verifier | | Telephor | ne number | | | | | | |
| | | | | | | | | | |
| Address of employer/verifier | | ! | | | | | | | |
| | | | | | | | | | |
| City (Country) | | State | ZIP Code | | | | | | |
| | | | | | | | | | |
| Physical Location Your actual work address (if different from employer address) | | Tolophon | e number | | | | | | |
| Tour actual work address (if different from employer address) | | Telephon | e number | | | | | | |
| City (Country) | | State | ZIP Code | | | | | | |
| | | | | | | | | | |
| Supervisor (if different from employer) | | | | | | | | | |
| Name and title | | Telephon | e number | | | | | | |
| Work address of supervisor | | | | | | | | | |
| Work address of supervisor | | | | | | | | | |
| City (Country) | | State | ZIP Code | | | | | | |
| | | | | | | | | | |
| Additional Periods of Activity with this Employer | | | | | | | | | |
| Month/Year To Month/Year Position title | Supervisor | | | | | | | | |
| Month/Year To Month/Year Position title | Supervisor | | | | | | | | |
| | | | | | | | | | |
| Month/Year To Month/Year Position title | Supervisor | | | | | | | | |
| | · | | | | | | | | |
| Explanation/Reason for leaving | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

Enter your Social Security Number before going to the next page

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved: OMB No. 3206 0005 NSN 7540-00 634-4036 86-111

| 13B FORMER FEDERAL SERVICE, EXCLUDING MILITARY SERVICE, NOT INDICATED PREVIOUSLY (list below if applicable) | | | | | | | | | | | |
|---|--|-------------|---|--|-----------|------|--|--|--|--|--|
| Dates of Fed Month/Year T | | | | Agency/City (Country)/State/ZIP Code Posi | ion Title | | | | | | |
| #1 | | , , , , , , | | | | | | | | | |
| | | | | | | | | | | | |
| #2 | | | | | | | | | | | |
| #3 | | | | | | | | | | | |
| 13C EMPLOYME | NT RECO | RD | | L | YES | NO | | | | | |
| , | U | | d to you in the last 7 ation requested. | years? If "Yes," begin with the most recent occurrence and go backward, providing date | | | | | | | |
| Use the following | codes ar | ıd explair | the reason your en | nployment was ended. | | - | | | | | |
| 2 - Quit a job afte | 1 - Fired from a job 3 - Left a job by mutual agreement following charges or allegations of misconduct 5 - Left a job for other reasons under 2 - Quit a job after being told you would be fired unsatisfactory performance 5 - Left a job for other reasons under unfavorable circumstances 6 - Laid off from job by employer | | | | | | | | | | |
| Month/Year | Code | Spe | ecify Reason | Employer's Name and Address (Include City/Country if outside U.S.) State | ZIP (| Code | | | | | |
| | | | | | | | | | | | |
| | | | | | + | | | | | | |
| | | | | | | | | | | | |
| <u>'</u> | | | | | YES | NO | | | | | |
| 2. Have you received a written warning, been officially reprimanded, suspended, or disciplined for misconduct in the workplace? | | | | | | | | | | | |
| 3. Have you recei | ved a writt | en warni | ng, been officially re | primanded, suspended, or disciplined for violating a security rule or policy? | 一 | | | | | | |
| If you answered "\ | es." to 13 | C(2) and | I/or 13C(3), provide | the name(s) of the employer(s), date(s) of incident(s), month/day/year of official action(s), le | cation(s) | | | | | | |
| If you answered "Yes," to 13C(2) and/or 13C(3), provide the name(s) of the employer(s), date(s) of incident(s), month/day/year of official action(s), location(s) or facility(ies) of incident(s), and the nature of the violation(s) in the space below. If additional space is needed, use a blank sheet(s) of paper. | | | | | | | | | | | |
| 14 SELECTIVE S | SERVICE | RECOR | | | YES | NO | | | | | |
| | | | | No," go to Question 15. If "Yes," go to b. | | | | | | | |
| | | | | em (SSS)? If "Yes," provide your registration number below. If "No," explain the SSS if you are unaware of your status before signing this form. | | | | | | | |
| Registration Nu | mber | Explar | nation | | • | | | | | | |
| | | | | | | | | | | | |

Enter your Social Security Number before going to the next page •

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

| 45 400 154534 | | | | | | | | | | | 1=1 . 0 | | | | |
|--|--|--------------------|--|-----------|--------|-----------------------|-------------------|---------------------|-------------------------|------------------|-----------------|-------------|-----------|--------|----------------|
| | | | ur military service th | | | | s below. If | you answe | r "No" to b | oth 15a and | 15b, go to Qu | estion 16. | Y | ES | NO |
| | | | y or the U.S. Merch | | | | | | | | | | | 4 | \blacksquare |
| | | | ry's military, securi | | ces, | merchar | nt marine, | militia, or o | other defe | nse forces? | | | | | |
| | | | was not honorable | | | | | | | | | | | | |
| | litary Justice? (| | years), have you b cial, Captain's mas | | | | | | | | | | | | |
| | | | e, list all details of time of service sh | | | | ce below, | starting w | ith the mo | st recent pe | riod of servic | e and wo | rking ba | ıck. | |
| Code (Bra | anch of Service |): Use one of th | e codes listed belo | w to | ider | ntify your | branch of | service. | | | | | | | |
| 1 - Air F 2 - Arm | | • | - Coast Guard - Merchant Marine | | | Air Natior Army NG | nal Guard (| (NG) | 9 - Foreigr | n military, de | efense, militia | a, security | forces | | |
| O/E: Mark "O" block for Officer or "E" block for Enlisted, if applicable. Status: "X" the appropriate block for the status of your service during the time that you served. If your service was in the National Guard, do not use an "X": use the two-letter code for the state to mark the block. Country: Identify the country for which you served. Code (Type of Discharge): Use one of the codes listed below to indicate your separation status from your military service. | | | | | | | | | | | | | | | |
| 1 - Honorable 2 - Dishonorable 3 - Other Than Honorable 4 - General 5 - Bad Conduct 6 - Other (Explain) | | | | | | | | | | | | | | | |
| Branch of | Branch of Month/Year To Month/Year Service Number O E Status Country | | | | | | | | | | | | /pe of | f | |
| Service Code | Month/Year I | o Month/Year | Service Number | 0 | E | Active Duty | Active Reserve | Inactive Reserve | Air NG State | Army NG State | Coun | Country | | | |
| | | | | | | | | | | | | | | | |
| | | <u> </u> | | | | | | | | | | | | | |
| 16 PEOPLE V | VHO KNOW Y | DU WELL | | | | | | | - | | | | | | |
| are collectively | aware of your | activities outside | preferably live in t e of the workplace use(s), other rela | , sch | ool, | or neighb | orhoods a | and whose | combined | association | | | | | |
| Reference name | Э | | Dates Month/Year T | | | | elationship | o to you (C | heck all th | | er (Explain) | Telepho | ne num | ber | |
| | | | | | | | Friend | | hoolmate | | | Day | / <u></u> | Evei | ning |
| Home or work a | ddress | | Apt. # | | C | City (Cour | ntry) | | St | ate | IP Code | Alternat | e teleph | none r | no. |
| Reference name | e | | Dates | | | | elationship | to you (C | heck all th | nat apply) | | Telepho | ne num | ber | |
| #2 | | | Month/Year T | о Мо I | onth/ | Year [| Neighbo Friend | | rk associat noolmate | e Oth | er (Explain) | Day | , \Box | Ever | nina |
| Home or work a | ome or work address Apt. # City (Country) State ZIP Code Alternate telep | | | | | | | | | | | | | | |
| Thomas of work a | | | 7. p t. " | | | , (OOU) | , | | | | | 7 iii Oiria | 10100 | 10110 | |
| Reference name | | | Dates Month/Year T | | | | | to you (C | | , | (F. 11) | Telepho | ne num | ber | |
| #3 | | | Monthly real r | | JIIII/ | | Neighbo Friend | | rk associat noolmate | e Oth | er (Explain) | Day | / <u></u> | Ever | ning |
| Home or work a | ddress | | Apt. # | | С | City (Cour | ntry) | | St | ate Z | IP Code | Alterna | te telepl | none | no. |
| | | | | | | | | | | | | | | | |
| Enter your So | ocial Security | / Number bef | ore going to th | e ne | xt p | age · | | | | | • | | | | |

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

| 17 MARITAL STATUS | |
|---|-------------------------|
| Mark one box to show your current marital status and provide information about your spouse(s) or cohabitant below. If there is not a midd | lle name, enter as |
| "NMN." 1 - Never married 3 - Separated 5 - Divorced | |
| 2 - Married (incl. Common Law) 4 - Annulled 6 - Widowed | |
| 17A CURRENT SPOUSE If applicable, complete the following about your current spouse only. If your current spouse was born outside the U.S., provide citizenshi | in information |
| Last name First name Middle name Date of birth Place of birth (include Country if outside | • |
| | , |
| Social Security Number Other names used (specify maiden name, names by other marriages, etc., and show dates used for each name | ne) |
| Country(ies) of citizenship | Date married |
| Place married (City, include Country if outside the U.S.) | State |
| If separated, date of separation If legally separated, where is the record located? City (Country) | State ZIP Code |
| Current address of spouse, if different than your current address (Street, City, include Country if outside the U.S.) State ZIP Code | Telephone number |
| If spouse was born outside the U.S. indicate one type of documentation that he or she possesses and the document numbers. | |
| FS 240 or 545 Citizenship certificate Alien registration Other (Explain) DS 1350 U.S. Passport (current or most recent) Naturalization certificate | |
| Document number Explain "Other" | |
| 17B FORMER SPOUSE(S) Complete the following about your former spouse(s). Use blank sheets if needed. | |
| Last name First name Middle name | Date of birth |
| | |
| Place of birth (include Country if outside the U.S.) State Country(ies) of citizenship | |
| Date married Place married (City, include Country if outside the U.S.) | State |
| Check one, then give date Divorced Annulled Date If divorced/annulled, where is the record located? City (Country) Widowed | State ZIP Code |
| Last known address of former spouse (Street, City, include Country if outside the U.S.) State ZIP Code | Telephone number |
| 17C COHABITANT [A cohabitant is a person with whom you share bonds of affection, obligation, or other commitment, as opposed to a person reasons of convenience (a roommate)]. If applicable, complete the following about your cohabitant. If your cohabitant was born outside the U.S., pro | |
| Last name First name Middle name Date of birth Place of birth (include Country if outsi | de the U.S.) |
| Social Security Number Other names used (specifically maiden names, names by other marriages, etc., and show dates used for each | name) |
| Country(ies) of citizenship | Date cohabitation began |
| If cohabitant was born outside the U.S., indicate one type of documentation that he or she possesses and the document numbers. | |
| FS 240 or 545 Citizenship certificate Alien registration Other (Explain) | |
| DS 1350 U.S. Passport (current or most recent) Naturalization certificate | |
| Document number Explain "Other" | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

| 18 RELATIVES | | | | | | | | | | | |
|--|---|-------------------------------------|------------------|-------------|--------------------|---------------------|-----------------------------|--|--|--|--|
| Relative Code - Use one of the following codes (1-16) listed below for each relative and give the full name and other requested information, if applicable, for each of your relatives, living or deceased, specified below. | | | | | | | | | | | |
| | 1 - Mother5 - Foster parent9 - Sister13 - Half-sister2 - Father6 - Child (incl. adopted and foster)10 - Stepbrother14 - Father-in-law | | | | | | | | | | |
| | 3 - Stepmother7 - Stepchild11 - Stepsister15 - Mother-in-law4 - Stepfather8 - Brother12 - Half-brother16 - Guardian | | | | | | | | | | |
| Code 1 | Full name | Deceased | Date of birth | | Place of birth | | Country(ies) of citizenship | | | | |
| Currer | Current address (Street, City, and State, include Country if outside the U.S.) | | | | | | | | | | |
| If relat | ive was born outside the | U.S., indicate one type of do | cumentation that | at he or sh | ne possesses and p | rovide the document | number below. | | | | |
| | S 240 or 545 | DS 1350 Naturalization certificate | Alien re | egistration | Other (E | xplain below) | Document number | | | | |
| _ | Full name | Deceased | Date of birth | доорон. | Place of birth | | Country(ies) of citizenship | | | | |
| Currer | nt address (Street, City, a | nd State, include Country if o | outside the U.S. |) | | | | | | | |
| If relat | ive was born outside the | U.S., indicate one type of do | cumentation tha | at he or sh | ne possesses and p | rovide the document | number below. | | | | |
| | S 240 or 545 | DS 1350 | | egistration | Other (E | xplain below) | Document number | | | | |
| | Citizenship certificate | Naturalization certificate | | assport | l | | | | | | |
| Code | Full name | Deceased | Date of birth | | Place of birth | | Country(ies) of citizenship | | | | |
| Currer | nt address (Street, City, a | nd State, include Country if | outside the U.S. |) | | | • | | | | |
| If relat | ive was born outside the | U.S., indicate one type of do | cumentation that | at he or sh | ne possesses and p | rovide the document | number below. | | | | |
| F | S 240 or 545 | DS 1350 | Alien re | egistration | Other (E | xplain below) | Document number | | | | |
| _ | Citizenship certificate | Naturalization certificate | | assport | i | | | | | | |
| Code | Full name | Deceased | Date of birth | | Place of birth | | Country(ies) of citizenship | | | | |
| Currer | nt address (Street, City, a. | nd State, include Country if o | outside the U.S. |) | <u>!</u> | | | | | | |
| If relat | ive was born outside the | U.S., indicate one type of do | cumentation that | at he or sh | ne possesses and p | rovide the document | number below. | | | | |
| F | S 240 or 545 | DS 1350 | Alien re | egistration | Other (E | xplain below) | Document number | | | | |
| С | Citizenship certificate | Naturalization certificate | U.S. Pa | assport | | | | | | | |
| Code | Full name | Deceased | Date of birth | | Place of birth | | Country(ies) of citizenship | | | | |
| Currer | nt address (Street, City, a | nd State, include Country if o | outside the U.S. |) | | | 1 | | | | |
| If relat | tive was born outside the | U.S., indicate one type of do | cumentation that | at he or sh | ne possesses and p | rovide the document | number below. | | | | |
| | S 240 or 545 | DS 1350 | | gistration | 045 - 7 (5 | xplain below) | Document number | | | | |
| | itizenship certificate | Naturalization certificate | U.S. Pa | • | | | | | | | |
| Code | Full name | Deceased | Date of birth | | Place of birth | | Country(ies) of citizenship | | | | |
| Currer | nt address (Street, City, a | nd State, include Country if | outside the U.S. |) | | | 1 | | | | |
| If relat | ive was born outside the | U.S., indicate one type of do | cumentation tha | at he or sh | ne possesses and p | rovide the document | number below. | | | | |
| F | S 240 or 545 | DS 1350 | Alien re | gistration | Other (E | xplain below) | Document number | | | | |
| С | itizenship certificate | Naturalization certificate | U.S. Pa | assport | | | | | | | |
| Code | Full name | Deceased | Date of birth | | Place of birth | | Country(ies) of citizenship | | | | |
| Currer | nt address (Street, City, a | nd State, include Country if o | outside the U.S. |) | l | | ı | | | | |
| If relat | ive was born outside the | U.S., indicate one type of do | cumentation that | at he or sh | e possesses and n | rovide the document | number below. | | | | |
| | S 240 or 545 | DS 1350 | | gistration | Other (F | xplain below) | Document number | | | | |
| | itizenship certificate | Naturalization certificate | U.S. Pa | • | | · | | | | | |
| | | | | | | | | | | | |

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved: OMB No. 3206 0005 NSN 7540-00 634-4036 86-111

| 19 FOREIGN CONTACTS | | | | | | |
|---|---------------------------|--|-----------------------------|---|---------------|---------|
| Do you have or have you had close and/or continuing bound by affection, influence, and/or obligation? Incluperson who is not a citizen or national of the U.S.) | • | Il as relatives, not | - | | | |
| 1. Full name | Dates kn | | Country(ies) of citizens | hip | | |
| | Month/Year To | Month/ rear | Country of residence | | | |
| Nature of relationship | Type of contact (chec | ck all that apply) | | Number of c | ontacts per | year |
| Business Personal Other (Explain) | Telephone In person | Electronic corresponding | | (Explain) 1 - 2 8 - 15 | 3 - 7 More | than 15 |
| 2. Full name | Dates kn Month/Year To | | Country(ies) of citizensl | hip | | |
| | | | Country of residence | | | |
| Nature of relationship | Type of contact (chec | ck all that apply) | | Number of o | ontacts per | year |
| Business Personal Other (Explain) | Telephone In person | Electronic corresponding | | 1 - 2 8 - 15 | 3 - 7 More | than 15 |
| 3. Full name | Dates kn Month/Year To | | Country(ies) of citizensl | hip | | |
| | <u> </u> | | Country of residence | | | |
| Nature of relationship | Type of contact (chec | ck all that apply) | | Number of o | ontacts per | year |
| Business Personal Other (Explain) | Telephone In person | Electronic corresponding | | 1 - 2 8 - 15 | 3 - 7 More | than 15 |
| 4. Full name | Dates kn Month/Year To | | Country(ies) of citizensl | hip | | |
| | | | Country of residence | | | |
| Nature of relationship | Type of contact (chec | ck all that apply) | | Number of o | ontacts per | year |
| Business Personal | Telephone | Electronic corr | | (Explain) 1 - 2 | 3 - 7 | |
| Other (Explain) 5. Full name | In person Dates kn | Written correspond | Country(ies) of citizensl | 8 - 15 | More | than 15 |
| 5. Tall hame | Month/Year To | | | | | |
| | | | Country of residence | | | |
| Nature of relationship Business Personal | Type of contact (chec | ck all that apply) Electronic corre | espondence Other | Number of c (Explain) 1 - 2 | ontacts per | year |
| Other (Explain) | In person | Written corresp | | 8 - 15 | H - | than 15 |
| 6. Full name | Dates kn Month/Year To | - | Country(ies) of citizensl | hip | | |
| | I I | World y Four | Country of residence | | | |
| Nature of relationship | Type of contact (chec | ck all that apply) | | Number of c | ontacts per | year |
| Business Personal | Telephone | Electronic corre | | (Explain) 1 - 2 | 3 - 7 | |
| Other (Explain) | In person | Written corresp | oondence | 8 - 15 | More | than 15 |
| 20 FOREIGN ACTIVITIES Respond for the time fram 20A Foreign Financial Interests Include stocks, pe | | any shares, inves | tments, or ownership of | corporate entities | I | Ī |
| Exclude U.Sbased fund managers and accounts man | naged through your em | ployer. | | • | YES | NO |
| Do you have or have you EVER had any foreign which you have direct control or direct ownersh | | , foreign bank acc | ounts, or other foreign fir | nancial interests of | | |
| Type of financial interest | | Amount of fund | ls in U.S. dollars | | | |
| 2. Do you have or have you had any foreign finance | cial interests that some | eone controls on y | your behalf? | | | |
| Type of financial interest and name of party who | o controls it | Amount of fund | ls in U.S. dollars | | | |
| 3. Do you own or have you owned real estate in a | foreign country? | | | | | |
| Type of property and date(s) owned | | ation of property | | Estimated value of property in U.S. dollars | | |
| Do you receive or have you received any education foreign country? | ational, medical, retirer | ment, social welfa | re, or other such benefits | | | |
| Type of benefit | | | | Estimated value in U.S. dollars | | |

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QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

| 1. Have you provided advice or support to anyone associated with a foreign business or other foreign organization that you have not previously listed as a former employer regarding any of the following: management, strategy, financing, or technology? If "Yes" AND the activity was outside of official U.S. Government business, describe advice/support provided, name(s) of foreign national and/or organization(s) to which it was provided, the name(s) of foreign country(ies), timeframe(s), and if compensation was provided. 2. Have you attended any international conferences, trade shows, seminars, or other meetings outside of the U.S.? If "Yes" AND the activity was outside of official U.S. Government business, provide locations, including the name(s) of foreign country(ies), date(s), sponsoring organization(s), and purpose of event(s). 3. Have you or any of your immediate family members been asked to provide advice or serve as a consultant, even informally, by any foreign government official or agency? If "Yes" AND the activity was outside of official U.S. Government business, provide the date(s) of request and/or consultation(s), including the name(s) of foreign country(ies), location of consultation(s), and circumstance(s). 4. Have you or any of your immediate family members had any contact with a foreign government, its establishment (embassies, consulates, agencies, or military services), or its representatives, whether inside or outside the U.S.? Answer "No" if the contact was for routine visa applications and border crossings related to either official U.S. Government travel or foreign travel listed below in Question 20C. If contact was outside of official U.S. Government business, identify the foreign government(s), establishment(s), and/or representative(s) involved and provide the circumstance(s), date(s), and location(s) of contact(s). 5. Have you sponsored any foreign citizen to come to the U.S. as a student, for work, or for permanent residence? If "Yes," provide the name of the foreign citizen t | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|
| foreign national and/or organization(s) to which it was provided, the name(s) of foreign country(ies), timeframe(s), and if compensation was provided. 2. Have you attended any international conferences, trade shows, seminars, or other meetings outside of the U.S.? If "Yes" AND the activity was outside of official U.S. Government business, provide locations, including the name(s) of foreign country(ies), date(s), sponsoring organization(s), and purpose of event(s). 3. Have you or any of your immediate family members been asked to provide advice or serve as a consultant, even informally, by any foreign government official or agency? If "Yes" AND the activity was outside of official U.S. Government business, provide the date(s) of request and/or consultation(s), including the name(s) of foreign country(ies), location of consultation(s), and circumstance(s). 4. Have you or any of your immediate family members had any contact with a foreign government, its establishment (embassies, consulates, agencies, or military services), or its representatives, whether inside or outside the U.S.? Answer "No" if the contact was for routine visa applications and border crossings related to either official U.S. Government travel or foreign travel listed below in Question 20C. If contact was outside of official U.S. Government business, identify the foreign government(s), establishment(s), and/or representative(s) involved and provide the circumstance(s), date(s), and location(s) of contact(s). 5. Have you sponsored any foreign citizen to come to the U.S. as a student, for work, or for permanent residence? If "Yes," provide the name of the foreign citizen(s) you sponsored, the country(ies) of citizenship, the date(s) of the foreign | | | | | | | | | |
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| country(ies), date(s), sponsoring organization(s), and purpose of event(s). 3. Have you or any of your immediate family members been asked to provide advice or serve as a consultant, even informally, by any foreign government official or agency? If "Yes" AND the activity was outside of official U.S. Government business, provide the date(s) of request and/or consultation(s), including the name(s) of foreign country(ies), location of consultation(s), and circumstance(s). 4. Have you or any of your immediate family members had any contact with a foreign government, its establishment (embassies, consulates, agencies, or military services), or its representatives, whether inside or outside the U.S.? Answer "No" if the contact was for routine visa applications and border crossings related to either official U.S. Government travel or foreign travel listed below in Question 20C. If contact was outside of official U.S. Government business, identify the foreign government(s), establishment(s), and/or representative(s) involved and provide the circumstance(s), date(s), and location(s) of contact(s). 5. Have you sponsored any foreign citizen to come to the U.S. as a student, for work, or for permanent residence? If "Yes," provide the name of the foreign citizen(s) you sponsored, the country(ies) of citizenship, the date(s) of the foreign | | | | | | | | | |
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| including the name(s) of foreign country(ies), location of consultation(s), and circumstance(s). 4. Have you or any of your immediate family members had any contact with a foreign government, its establishment (embassies, consulates, agencies, or military services), or its representatives, whether inside or outside the U.S.? Answer "No" if the contact was for routine visa applications and border crossings related to either official U.S. Government travel or foreign travel listed below in Question 20C. If contact was outside of official U.S. Government business, identify the foreign government(s), establishment(s), and/or representative(s) involved and provide the circumstance(s), date(s), and location(s) of contact(s). 5. Have you sponsored any foreign citizen to come to the U.S. as a student, for work, or for permanent residence? If "Yes," provide the name of the foreign citizen(s) you sponsored, the country(ies) of citizenship, the date(s) of the foreign | | | | | | | | | |
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| or foreign travel listed below in Question 20C. If contact was outside of official U.S. Government business, identify the foreign government(s), establishment(s), and/or representative(s) involved and provide the circumstance(s), date(s), and location(s) of contact(s). 5. Have you sponsored any foreign citizen to come to the U.S. as a student, for work, or for permanent residence? If "Yes," provide the name of the foreign citizen(s) you sponsored, the country(ies) of citizenship, the date(s) of the foreign | | | | | | | | | |
| If "Yes," provide the name of the foreign citizen(s) you sponsored, the country(ies) of citizenship, the date(s) of the foreign | | | | | | | | | |
| | | | | | | | | | |
| the state of the s | | | | | | | | | |
| 6. Have you EVER held or do you now hold a passport that was issued by a foreign government? | | | | | | | | | |
| If "Yes," provide the name(s), in which your foreign passport(s) was issued, the issuing country(ies), the passport number(s), the date(s) issued, the expiration date(s), and the status of each. | | | | | | | | | |
| 20C Foreign Countries You Have Visited Respond for the time frame of the last 7 years. YES NO | | | | | | | | | |
| Have you traveled outside the U.S. in the last 7 years? | | | | | | | | | |
| Respond for foreign countries you have visited in the last 7 years, beginning with the most current and working back. If you have lived near a border and have made short (one day or less) trips to the neighboring country (e.g. Canada or Mexico), you do not need to list each trip. Instead, provide the time period, the code, the country, and a note ("Many Short Trips"). Do not list travel under official U.S. Government business, but you must include any personal trips made in conjunction with the official U.S. Government travel. | | | | | | | | | |
| Use these codes to indicate the purpose(s) of your visit: 1 - Business/Professional conference 3 - Education 5 - Visit family or friends 2 - Volunteer activities 4 - Tourism 6 - Other | | | | | | | | | |
| Code Month/Year To Month/Year Number of Days Country Code Month/Year To Month/Year Number of Days Country | | | | | | | | | |
| #1 #4 | | | | | | | | | |
| #2 #5 | | | | | | | | | |
| #3 #6 | | | | | | | | | |
| 21 MENTAL AND EMOTIONAL HEALTH | | | | | | | | | |
| Mental health counseling in and of itself is not a reason to revoke or deny a clearance. In the last 7 years, have you consulted with a health care professional regarding an emotional or mental health condition or were you hospitalized for such a condition? Answer "No" if the counseling was for any of the following reasons and was not court-ordered: 1) strictly marital, family, grief not related to violence by you; or 2) strictly related to adjustments from service in a military combat environment. | | | | | | | | | |
| If you answered "Yes," indicate who conducted the treatment and/or counseling, provide the following information, and sign the Authorization for Release of Medical Information Pursuant to the Health Insurance Portability and Accountability Act (HIPAA). | | | | | | | | | |
| Dates of Treatment and/or Counseling Month/Year To Month/Year Name/Address of Provider Name/Address of Provider | | | | | | | | | |
| #1 | | | | | | | | | |
| #2 | | | | | | | | | |

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

| 22 POLICE RECORD | | | | | | | | | |
|--|-------------------------------|------------------|--|-------------------|--------------------|--------------------------------|--------------|-------------|-----|
| For this item, report information regardless of whether the record in your case has been sealed, expunged, or otherwise stricken from the court record, or the charge was dismissed. You need not report convictions under the Federal Controlled Substances Act for which the court issued an expungement order under the authority of 21 U.S.C. 844 or 18 U.S.C. 3607. Be sure to include all incidents whether occurring in the U.S. or abroad. | | | | | | | | | |
| For questions a and b, respond for the timeframe of the last 7 years (if an SSBI go back 10 years). Exclude any fines of less than \$300 for traffic offenses that do not involve alcohol or drugs. YES NO | | | | | | | | | |
| a. Have you be | en issued a summons, | citation, or tie | cket to appear in court in a g | • | ding against yo | u; are you on trial or await | ing a | | |
| b. Have you be | en arrested by any pol | ice officer, sh | eriff, marshal, or any other | type of law enfo | rcement officer | ? | | | |
| c Have you E\ | /ER been charged with | any felony of | ffense?(Include those under | r Uniform Code | of Military Justi | ce.) | | | |
| d Have you E\ | ER been charged with | a firearms or | explosives offense? | | | | | | |
| e Have you E\ | ER been charged with | any offense(| s) related to alcohol or drug | ıs? | | | | | |
| If you answ | , , | | plain below, providing inforr | | and every offen | se. | _ | | |
| Month/Year | Law Enforcement Au | thority/Court | City and Country (if outsice | le U.S.) State | ZIP Code | Offense | Ac | tion Taken | |
| #1 | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| #2 | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| 23 ILLEGAL U | SE OF DRUGS OR DI | RUG ACTIVIT | ſΥ | • | • | • | • | | |
| The following gu | estions pertain to the i | llegal use of c | Irugs or drug activity. You a | are required to | answer the que | stions fully and truthfully, a | and your | | |
| failure to do so o | ould be grounds for an | adverse emp | ployment decision or action e against you in any subsec | against you. N | either your truth | | | YES | NO |
| a In the last 7 | years, have you illega | lly used any c | ontrolled substance, for exa | ample, cocaine, | crack cocaine, | THC (marijuana, hashisi | h, etc.), | | |
| • | | | c.), stimulants (amphetamin | | • | | . , | | |
| • | • | | uilizers, etc.), hallucinogeni Use of a controlled substa | | • | | itrate, | | |
| , , | ng with or otherwise co | | | | ,,comig, onermi | g,g, errane rig, | | | |
| - | | | cance while possessing a sensition directly and immedia | - | | | officer, | | |
| | | | e illegal possession, purcha ostance (see question a abo | | | | ng, | | |
| d In the last 7 | years, have you receive | ed counselin | g or treatment or have you l | peen ordered, a | advised, or aske | d to seek counseling or tre | eatment | | |
| | | | d "Yes," provide date(s) of t tion is needed concerning a | | ame(s) and add | Iress(es) of provider(s). Yo | ou will | | |
| If you answe | ered "Yes" to a - d abo | ve, provide th | e date(s) of use or activity, | identify the con | trolled substanc | e(s), and explain the use | or activity. | | |
| | Use/Activity To Month/Year | Type of Co | ontrolled Substance(s) | Explain (natu | ure of use/activit | ty, frequency of activity an | d number o | f times use | ed) |
| #1 | | | | | | | | | |
| | 1 | | | | | | | | |
| #2 | | | | | | | | | |
| | 1 | | | | | | | | |
| 24 LISE OF AL | COHOL Respond for t | ho timo framo | of the last 7 years | | | | | YES | NO |
| | • | | t on your work performance | vour professio | nal or nersonal | relationships your finance | es or | 11.5 | NO |
| | | | iblic safety personnel? (If ") | | mar or pordonar | Tolationompo, your illiano | 00, 01 | | |
| b Have you | been ordered, advised | , or asked to | seek counseling or treatme | nt as a result of | f your use of alc | ohol? | | | |
| c Have you | received counseling of | r treatment as | a result of your use of alco | hol? | | | | | |
| below. Do | | | e, provide the date(s) of tree esponse to Question 21. Yo | | | | | | |
| | To Month/Year | | Name/Add | dress of Counse | elor or Doctor | | State | ZIP Co | de |
| #1 | | | Namo/Au | 500 Or Obuildi | 5. 500001 | | 2.0.0 | | |
| | Ī | | | | | | | | |
| #2 | | | | | | | | | |
| π_ | | | | | | | | | |
| | | | | | | | | | |

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved: OMB No. 3206 0005 NSN 7540-00 634-4036 86-111

| 25 INVESTIGATIONS AND CLEARANCE RECORD | | | | | | | | |
|--|--------------|------------------|---|--|-----|------------|--|--|
| a Has the U.S. Government or a foreign government EVER investigated your background and/or granted you a security clearance? If "Yes," use the codes that follow to provide the requested information below. If "Yes," but you can't recall the investigating agency and/or the security clearance received, enter the code for "Unknown." If your response is "No," or you don't know or can't recall if you were investigated and cleared, check the "No" box. | | | | | | | | |
| Investigating Agency Codes 1 - Defense Department 5 - Treasury Department 5 - Treasury Department 6 - Department of Homeland Security 1 - Confidential 6 - L 3 - Office of Personnel 7 - Foreign government (Specify country) Management 8 - Unknown 3 - Top Secret 7 - Issued by foreign country (specify 4 - Sensitive Compartmented country) Investigation 9 - Other (Explain below) Information 8 - Unknown | | | | | | | | |
| М | onth/Year | Agency Code | Foreign Go | overnment or Other Agency (If necessary) | | ance de | | |
| #1 | | | | | | | | |
| #2 | | | | | | | | |
| #3 | | | | | | | | |
| #4 | | | | | | | | |
| | | | | | YES | NO | | |
| l g | jovernment e | mployment? If | | denied, suspended, or revoked; or been debarred from ency(ies), and circumstances. Note: An administrative | | | | |
| Мо | nth/Year | De | epartment or Agency Taking Action | Circumstances | | | | |
| #1 | | | | | | | | |
| #2 | | | | | | | | |
| F | | ing, answer for | the last 7 years, unless otherwise specified in the signer or guarantor, on the following page. | e question. Disclose all financial obligations, including | YES | NO | | |
| а | Have you f | led a petition u | nder any chapter of the bankruptcy code? If "Yes | s," indicate type. | | | | |
| b | Have you h | ad any posses | sions or property voluntarily or involuntarily repos | sessed or foreclosed? | | | | |
| С | Have you fa | ailed to pay Fed | deral, state, or other taxes, or to file a tax return, v | when required by law or ordinance? | | | | |
| d | Have you h | ad a lien place | d against your property for failing to pay taxes or | other debts? | | | | |
| е | Have you h | ad a judgment | entered against you? | | | | | |
| f | Have you d | efaulted on any | type of loan? | | | | | |
| g | Have you h | ad bills or debt | s turned over to a collection agency? | | | | | |
| h | Have you h | ad any accoun | t or credit card suspended, charged off, or cancel | led for failing to pay as agreed? | | | | |
| i | Have you b | een evicted for | non-payment of financial obligations? | | | | | |
| j Have you been delinquent on court-imposed alimony or child support payments? | | | | | | | | |
| k Have you had your wages, benefits, or assets garnished or attached for any reason? | | | | | | | | |
| I Have you been counseled, warned, or disciplined for violating terms of agreement for a travel or credit card provided by your employer? | | | | | | | | |
| m | Have you b | een over 180 d | ays delinquent on any debt(s)? | | | | | |
| n | Are you cu | rrently over 90 | days delinquent on any debt(s)? | | | | | |
| o | Have you E | VER experienc | ced financial problems due to gambling? | | | | | |
| р | Are you cu | rently delinque | ent on any Federal debt? | | | | | |

Enter your Social Security Number before going to the next page —

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved: OMB No. 3206 0005 NSN 7540-00 634-4036 86-111

| are a cosi | llowing, an | swer for arantor. I | the last 7 years, unless | | | stion. Disclose all financial obligation de the information requested below. | | | | |
|---|---|------------------------------------|---|--------------------------------|---|---|-----------------------|-----------------------|---------|----|
| Indicate (a-p) | (a-p) Month/Year Value Involved Bankruptcy Type Names of Agency/Organization/individual | | | | | Il to Whom Debt is/w | as Owe | ed | | |
| | me/Address | s of Com | pany, Court, or Agency | Name Action/Debt is Recorded U | nder | Status of Actio | n or De | ht | | |
| State ZIP Code | | | | | | | 0.0.00 | | | |
| Indicate (a-p) | Date Sa Month | | Amount of Property Value Involved | | n/Account Number/ ankruptcy Type | Names of Agency/Organization/I | ndividua | Il to Whom Debt is/w | as Owe | ed |
| | me/Address | s of Com | pany, Court, or Agency | Handlin | g Case | Name Action/Debt is Recorded U | nder | Status of Action | n or De | bt |
| | | | | State | ZIP Code | | | | | |
| Indicate (a-p) | Date Sa Month | | Amount of Property Value Involved | | n/Account Number/ ankruptcy Type | Names of Agency/Organization/I | ndividua | Il to Whom Debt is/w | as Owe | ed |
| Nar | me/Address | s of Com | pany, Court, or Agency | Handlin | g Case | Name Action/Debt is Recorded U | nder | Status of Actio | n or De | bt |
| | | | | State | | | | | | |
| Indicate (a-p) | | | | | | Il to Whom Debt is/w | as Owe | ed | | |
| Name/Address of Company, Court, or Agency Handling Case Name Action/Debt is Recorded Under Status of Action o | | | | | | | | n or De | bt | |
| | | | | State | ZIP Code | | | | | |
| 27 USE OF | INFORMA | TION TE | CHNOLOGY SYSTEM | S | | | | | ī | |
| hardware, so information. | oftware, firm You are re action again | nware, ar quired to ist you. | nd data used for the cor answer the questions Neither your truthful res | nmunica fully and | ation, transmission, pro I truthfully, and your fail | nation technology systems include al cessing, manipulation, storage, or p lure to do so could be grounds for a If from your responses will be used a | rotection n advers | n of se employment | YES | NO |
| | • | | | • | | any information technology system | | | | |
| residin | g on an inf | ormation | technology system? | | | d, manipulated, or denied others acc | | | | |
| | | | | | | or media in connection with any infor guidelines, or regulations? | mation t | echnology | | |
| Date of In Month/\ | | Na | ature of Incident/Offense | • | Location | Location Incident Took Place Action Taken | | | | |
| #1 | | | | | | | | | | |
| #2 | | | | | | | | | | |
| #3 | | | | | | | | | | |
| #4 | | | | | | | | | | |
| #5 | | | | | | | | | | |
| #6 | | | | | | | | | | |
| #7 | | | | | | | | | | |

Enter your Social Security Number before going to the next page

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

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| 28 | INVOLVEM | ENT IN NON-CRIMINA | AL COURT ACTIONS | | | | | YES | NO | | |
|---|--|---|--|---|--|----------------|---------|------|-----|--|--|
| _ | - | | | a party to any public record civil court ac | tion(s) not listed elsewhere on the | nis form? | | | .10 | | |
| | | ` | <u> </u> | record civil court action(s) requested be | · / | | | | | | |
| Month/Year Nature of Action Result of Action Result of Action Name of Principal Parties Involved (if more space is needed, use Continuation Space on page 17) | | | | | | | | | | | |
| #1 Court name | | | | | | | | | | | |
| Street address | | | | | | | | | | | |
| | | | | | City | State | ZIP (| Code | | | |
| #2 | | | | | Court name | | | | | | |
| | | | | | Street address | | | | | | |
| | | | | | | | | | | | |
| | | | | | City | State | ZIP | Code | | | |
| 29 | ASSOCIATIO | ON RECORD | | | | | | | | | |
| for a | an adverse e dangerous to | mployment decision or human life and appea | action against you. For the result of the re | uired to answer the questions fully and tr the purpose of this question, terrorism is cate or coerce a civilian population to influction, assassination or kidnapping. | lefined as any criminal acts that | involve vic | olence | or | | | |
| а | | | | contribution to, an organization dedicated attention's dedication to that end or with the | | | | YES | ОМ | | |
| b | overthrow th | ne U.S. Government, ai | a member of, or made a count of a member of, or made a count of a member of, or made a count of a member of a memb | contribution to, an organization dedicated a ctivities to that end, either with an awastrivities? | I to the use of violence or force tareness of the organization's de | to dication | | | | | |
| С | Have you E | VER been an officer or | a member of, or made a cence to discourage others | contribution to, an organization that unlaw from exercising their rights under the U. | | | | | | | |
| d | Have you E | VER advocated any ac | | designed to overthrow the U.S. Governr | nent by force with the specific in | tent to | | | | | |
| е | | | | d to overthrow the U.S. Government by f | orce? | | | | | | |
| f | | | | Neither your truthful response nor inforosequent criminal proceeding. | mation derived from your respon | nse to | | | | | |
| g | Have you E | VER participated in mil | itias (not including official | state government militias) or paramilitary | groups? | | | | | | |
| | If you answe | ered "Yes" to any of the | e questions above, explain | below. | | | | | | | |
| | | | | CONTINUATION SPACE | | | | | | | |
| pro | vide any info | rmation yoù would like | to add. If more space is n | items 11, 12, and 13. Use the space be eeded than is provided below, use a blar tem and try to maintain question format. | | | |) | | | |
| After completing this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, | | | | | | | | | | | |
| | | - | certification and the at | tached release(s). | and the form to toll to toll to | uili | | 4.0, | | | |
| | | this fame. | attack assets to the | Certification | orthogonal adaption 10 to 10 t | | | | | | |
| have or in secu | My statements on this form, and on any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I have carefully read the foregoing instructions to complete this form. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both (18 U.S.C. 1001). I understand that intentionally withholding, misrepresenting, or falsifying information may have a negative effect on my security clearance, employment prospects, or job status, up to and including denial or revocation of my security clearance, or my removal and debarment from Federal service. | | | | | | | | | | |

Signature Date (mm/dd/yyyy)

Enter your Social Security Number before going to the next page

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

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UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a national security position.

I Authorize the Social Security Administration (SSA) to verify my Social Security Number (to match my name, Social Security Number, and date of birth with information in SSA records and provide the results of the match) to the Office of Personnel Management (OPM) or other Federal agency requesting or conducting my investigation for the purposes outlined above. I authorize SSA to provide explanatory information to OPM, or to the other Federal agency requesting or conducting my investigation, in the event of a discrepancy.

I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, separate specific releases may be needed, and I may be contacted for such releases at a later date.

I Authorize any investigator, special agent, or other duly accredited representative of the OPM, the Federal Bureau of Investigation, the Department of Defense, the Department of State, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for assignment to, or retention in, a national security position, in accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

I Authorize custodians of records and other sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and that it may be disclosed by the Government only as authorized by law.

Photocopies of this authorization that show my signature are valid. This authorization is valid for five (5) years from the date signed or upon the termination of my affiliation with the Federal Government, whichever is sooner.

| Signature (Sign in ink) | | | Full name (Type or print leg | ibly) | | Date signed (mm/dd/yyyy) |
|-------------------------|--------|-----------|------------------------------|-------|---------------|--------------------------|
| Other names used | | | | | Date of birth | Social Security Number |
| Current street address | Apt. # | City (Cou | ntry) | State | ZIP Code | Home telephone number |

| Enter your Social Security Number before going to the next page |
|---|
|---|

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved: OMB No. 3206 0005 NSN 7540-00 634-4036 86-111

Date signed (mm/dd/yyyy)

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF MEDICAL INFORMATION PURSUANT TO THE HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT (HIPAA)

If you answered "Yes" to Question 21, carefully read this authorization to release information about you, then sign and date it in ink.

Instructions for Completing this Release

Enter your Social Security Number before going to the next page

This is a release for the investigator to ask your health practitioner(s) the questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

Authorization

Signature (Sign in ink)

I am seeking assignment to or retention in a national security position. As part of the clearance process, I hereby authorize the investigator, special agent, or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations.

In accordance with HIPAA, I understand that I have the right to revoke this authorization at any time by writing to the U.S. Office of Personnel Management. I understand that I may revoke this authorization except to the extent that action has already been taken based on this authorization. Further, I understand that this authorization is voluntary. My treatment, payment, enrollment in a health plan, or eligibility for benefits will not be conditioned upon my authorization of this disclosure.

I understand the information disclosed pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 86 and that it may be disclosed by the Government only as authorized by law, but will no longer be subject to the HIPAA privacy rule.

Photocopies of this authorization with my signature are valid. This authorization is valid for one (1) year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

Full name (Type or print legibly)

| Other names used | | | | | Social Security Number |
|-------------------------|-----------------------|--|----------|----------|--------------------------|
| Current street address | Apt. # | City (Country) | State | ZIP Code | Home telephone number |
| For Use By Practition | ner(s) Only | | <u>'</u> | | , |
| safeguard classified n | ational security info | e a condition that could bring the could bring the could bring the could be | | | |
| Signature (Sign in ink) | | Practitioner i | name | | Date signed (mm/dd/yyyy) |
| | | | | | |